

# **POLI 715**

## **International Relations Theory**

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University of South Carolina  
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Office Hours: Monday 2:00-3:15, Wednesday 10:30-Noon  
and by appointment. **Note:** *E-mail is the best way to get in touch with me.*

### **INTRODUCTION**

This course has been designed to provide a broad overview of the many theoretical approaches to the study of international relations, as well as to recurring issues regarding the interpretation of various types of theoretical and empirical analysis. Several cross-cutting themes provide organization to the course: variations on the basic Realist approach and the set of alternative theoretical approaches which have developed around challenges to the basic assumptions and findings of Realism; a broad division of topics based upon a levels-of-analysis framework; an agent-structure logic of inquiry based upon opportunity, willingness, and their interaction. We will also be concerned with the ways in which theory has informed research in international relations, and how such research may be used to evaluate and refine IR theory.

This course may also be characterized both as a "gatekeeper" and as a "cafeteria" course-- providing the broad overview noted. I see this course serving as a general overview of the field, as a first introduction to issues of analysis and interpretation that will re-emerge in the other more specialized graduate seminars, and to inform the design of your own research. This particular course is focused nearly entirely on theoretical concerns, that is, we will have few, if any, occasions to deal with the substantive ramifications of current events or policy debates. As a survey course, students will be exposed to the range and complexity of both the phenomena of international relations and the ways to study and think about that phenomena. While not every aspect of the field can be covered, many of its major areas will be touched upon, giving the student an opportunity to become acquainted with both the older classics and more recent literature.

Thus, POLI 715 has the following set of learning outcomes. Upon completion of the course the student should be able to: (1) identify the broad theoretical approaches to the study of IR and the differences between them (e.g. realism and the variety of critical responses to realism: liberalism/neo-liberalism/pluralism, radical approaches, agent-structure approaches, etc.); (2) have the basis for an IR bibliography; (3) have a set of core questions that can serve as the basis for a dissertation topic; (3) know how to incorporate IR theory and the IR literature into research design; (4) demonstrate the ability to synthesize and apply a broad IR literature.

### **THE READING**

As a seminar, your participation and attendance are requisite; one third of the seminar grade will be based upon class attendance and performance. Such performance will require a fairly large amount of reading being completed before each weekly seminar meeting. Students in this course should be prepared to review a substantial amount of material each week and be prepared to discuss it intelligently in seminar.

Books Required/Suggested for Purchase: You will see that almost every week will have material assigned from basic international relations textbooks:

Required for purchase:

-- Dougherty and Pfaltzgraff, *Contending Theories of International Relations*, 5th ed.(2001)

Recommended for purchase:

– Russett, Starr, and Kinsella, *World Politics: the Menu for Choice*, 9<sup>th</sup> ed. (2010)

– Viotti and Kauppi, *International Relations Theory*, 4<sup>th</sup> ed. (Longman, 2009)

Viotti and Kauppi (noted as V&K on the syllabus) and Russett, Starr, and Kinsella (R,S&K) should be read, skimmed or omitted depending on the student's background-- or lack thereof--in international relations. Dougherty and Pfaltzgraff (D&P) in particular provides the equivalent of a wide-ranging annotated bibliography of the international relations literature. For those wanting a way to ease into understanding formal models and game theory, pay special attention to the short "In Greater Depth" boxes included in most chapters of Russett, Starr, and Kinsella.

Other **required** books are:

-- Most and Starr, *Logic, Inquiry and International Politics* (1989)

-- Robert Keohane and Joseph Nye, *Power and Interdependence*, 3rd ed. (2001)

Also **highly recommended:**

-- Charles Kegley, ed., *Controversies in International Relations Theory* (1995)

– Brecher and Harvey, eds., *Realism and Institutionalism in International Studies* (2002)

The text material assigned, as well as literature review articles, will give you general overviews of the week's topic. Other assigned reading will be "primary" sources-- articles and book chapters presenting theory and/or research. To aid you in reading a large amount of material for each week (sometimes apparently diverse material), a set of questions will be posted on Blackboard (under Course Information). These questions, stolen from a graduate syllabus developed by Ole Holsti, are the sorts of questions you should be asking yourselves about the reading as you move through it (in your attempt to work through a heavy reading list in a short period of time). In class we will discuss further tips on how to cover a large amount of reading in a limited time. This is important, as another central purpose of this course is to gain experience in absorbing and synthesizing within and across subsections of the large and unwieldy IR literature.

For most weeks of the semester the reading is based loosely on a triple-tiered system. "Minimum Readings" include items that I would like, each week, to form a common basis for discussion. The second tier consists of materials "Also on Reserve." These will be on reserve in the Thomas Cooper Library (or on Blackboard) to allow class members to pursue more individualized interests. Finally, as the third element in the beginnings of a bibliography, items are also listed as being "Of Relevance." Again, these are starting points for the development of individual areas of interest and inquiry. Most of the "Of Relevance" materials are not on reserve. Many are articles, and as such, they will be readily available in the library stacks, or (usually) available electronically through "e-journals." A number will also be available on the Blackboard site for this course. Each week I will take time to discuss the next week's reading in terms of general content, priority, order of reading, etc.

Materials on Reserve at Thomas Cooper Library: Note that the books required for purchase will not be on reserve. Almost all the remaining materials (except as noted) listed under "Minimum Reading" will be on

reserve at Thomas Cooper. The rest will be under "Course Documents" on Blackboard. Each week the articles and chapters to be read will be found in a packet with that week's topic number. Thus, under POLI (or possibly still GINT) 715 you will find a listing for Packets #2 through #14. Since some of these are chapters or articles from books, all the readings that are included in a packet will be indicated on the syllabus by a [P]. All the items in Blackboard will be found in "Course Documents," and denoted by [BB].

*It is absolutely vital that you be responsible and mature scholars, showing courtesy to your classmates and instructor, and return all reserve materials promptly and fully intact.*

### **STUDENT RESPONSIBILITIES**

Each week students will be expected to hand in a set of three questions about the week's reading. These questions should be comparable to mid-term or final exam questions. Not only should they get you thinking about the week's reading, they will be used in part to structure the seminar discussion. These questions are due to me by 1:00 on the Monday before class; (either brought to my office—432 Gambrell— or have placed in my mailbox on the third floor of Gambrell). I will make notations on each set questions, which will be returned to you after seminar. I will indicate if the work is not acceptable.

As noted, one third of the grade will be based on class participation. This will include an evaluation of your contributions to seminar discussion as well as the quality of your weekly questions. *You must come to class prepared to discuss large amounts (if not all) of the week's reading.* As noted, the main purpose of the weekly questions is to get you to start thinking seriously about the assigned reading.

Another third of the grade will be based on the Final Examination: an open-book take-home essay exam to be given at the end of the semester; **(the exam will be distributed in class on November 30 and be due to me by 4:00 pm, Monday, December 6.**

The final third of the course grade will be based on a seminar paper, a "Research Puzzle Exercise: Translating Theory into a Research Project," of approximately 20 double-spaced pages in length. A brief description of this exercise is provided below; a more extensive description will be given in class. Each student must confer with me **by Friday, October 8** in regard to the selection of the paper topic. Papers will be **due on November 30 in class.**

Given the mix of students in the class (with different backgrounds and experience in graduate study), I will also be open to *other suggestions* for seminar papers including full-fledged, formal research designs and research papers. Students who wish to write another type of paper, and have substantially thought-out ideas for such alternate papers, should be prepared to discuss them (as well as a topic for the "Research Puzzle Exercise") at our paper topic conference. I will also use this conference as an opportunity to provide additional feedback on your class participation and weekly questions.

In summary, the course grade will consist of the following: 33% for class participation (including weekly questions), 33% for the take-home final examination, and 33% for the seminar paper. All assignments are expected to be submitted on the due dates. Weekly questions not in on time will receive a zero for that week. Unless accompanied by a legitimate, documented excuse, late papers and exams will also receive a zero grade. My policy is not to give incompletes.

RESEARCH PUZZLE EXERCISE:  
TRANSLATING THEORY INTO A RESEARCH PROJECT

**Please read this description carefully.** In weeks 2 and 3 of the course, we will have covered various issues of theory, research design, logic and methodology. In Rosenau's "Thinking Theory Thoroughly" you will have been introduced to the idea of research "puzzles" and a set of guidelines for thinking theoretically. These concerns were also at the heart of discussions found in Most and Starr, chapter 1, which also attempted to pull together theory and research design through the use of logic. In week 3 you will have also reviewed Gurr's *Politimetrics* which provided an outline of the tasks involved in research design and Most's commentary on "Getting Started;" (I also have posted an electronic copy of "Outline of a Research Design" on Blackboard under "Course Information"). In addition, it might help to review the opening chapters of Lave and March's, *An Introduction to Models in the Social Sciences*.

The Research Puzzle Exercise asks you to perform those tasks involved in "Formulating the Questions," (Gurr, 1972:10-12), or the "problematation" stage of research. **Note:** This paper is **not** a research paper which involves the collection and analysis of data, **nor** is it a research design (although it does include some of the most important elements of such a design). Most and Starr (1989:16-17) note that:

Just as each aspect of the research triad [theory, logic, and methodology] must be dealt with, all the clusters of the research design process must be handled with competence, or else the whole project will fail. In this sense, all clusters are crucial to research. However, we feel that the problematation cluster may be the first among equals, that it may be the most crucial of the research design activities. We suggest this possibility on the basis that the problemation cluster is the translation cluster. It is here that broad conceptions of how the world works, that is broad models and theories, are translated into puzzles, problems or questions.

It is your assignment to take some aspect of international relations theory-- using the syllabus, readings, reading lists, and bibliography provided by POLI 715-- and move from that theory to a viable research question. The following process is involved:

**(1)** You begin with an "interest"-- some topic/concept/phenomenon which is of interest to you. **(2)** This "interest" will be the starting point for the paper topic conference with the instructor. With the approval of a paper topic you will engage in a substantial literature review. This will constitute the major portion of your paper. **(3)** You will use the literature review to develop *your own* theory/model/framework for doing research in your topic. **(4)** Finally-- and this is the end point of the process-- you will use your theory/model/framework to develop a feasible empirical research question, hypothesis, or set of hypotheses (i.e, something that could form the basis for a Master's thesis or a doctoral dissertation).

I expect you to proofread the paper carefully, and to avoid egregious errors of spelling, grammar, etc. **You must** use one acceptable reference style, and to use that style consistently throughout the paper. This choice of style refers to how the works of others are referenced in the paper, footnote style, and the form/list of references provided. To help you do this, you **must** select and use properly the style found in one of the following journals: *American Political Science Review*, *Journal of Conflict Resolution*, or *World Politics*. **You must** note which journal you are using either in your first footnote or in your list of references.

## COURSE OUTLINE AND READINGS

1. Organizational Meeting [Aug.24]

### APPROACHES: AN INTRODUCTION TO THEORY AND METHODOLOGY

2. WHY AND WHAT IN IR (THEORY?) [Aug.31]

#### Text Readings

V&K, chs. 1; and pp.332-46 (rest of ch.7 recommended)

D&P, chs. 1, 12

R,S&K, chs. 1, 2

#### Minimum Readings [Packet #2]

James Rosenau, THE SCIENTIFIC STUDY OF FOREIGN POLICY (revised edition),  
ch.3 "Thinking Theory Thoroughly", [reprinted in V&K][**BB**]

Charles Lave and James G. March, AN INTRODUCTION TO MODELS IN THE SOCIAL  
SCIENCES, chs. 1,2 [**BB**]

Bruce Bueno de Mesquita, PRINCIPLES OF INTERNATIONAL POLITICS, ch.5, "Evaluating  
Arguments About International Politics" [**P**] [**BB**]

Harvey Starr, "Visions of Global Politics as an Intellectual Enterprise," in Donald Puchala, ed.,  
VISIONS OF INTERNATIONAL RELATIONS [**BB**]

Bruce Russett, "Reintegrating the Subdisciplines of International and Comparative Politics,"  
INTERNATIONAL STUDIES REVIEW, vol.5, no.4, 2003 [**P**] [**BB**]

K.J. Holsti, "Mirror, Mirror on the Wall, Which are the Fairest Theories of All?"  
INTERNATIONAL STUDIES QUARTERLY, vol.33, 1989 [**P**] [**BB**]

John A.Vasquez, "The Post-Positivist Debate...", in Ken Booth and Steve Smith, eds.,  
INTERNATIONAL RELATIONS THEORY TODAY [**P**] [**BB**]

Øyvind Østerud, "Antinomies of Postmodernism in International Studies," JOURNAL OF PEACE  
RESEARCH, vol.33, 4, 1996 [**P**] [**BB**]

Øyvind Østerud, "Focus on Postmodernism: A Rejoinder," JOURNAL OF PEACE RESEARCH,  
vol.34, 3, 1997 [**P**] [**BB**]

[Also in Packet #2 for help with the Research Puzzle Exercise: Janet Johnson and Richard Joslyn,  
POLITICAL SCIENCE RESEARCH METHODS, 3<sup>rd</sup> ed., ch.6, "Conducting a Literature Review"]

Also on Reserve

- Kegley, ed., *CONTROVERSIES*, ch. by James Lee Ray  
Rosenau, *SCIENTIFIC STUDY OF FOREIGN POLICY*, ch.2 "Games International Relations Scholars Play;" ch.9 "Puzzlement in Foreign Policy"  
Margaret Hermann, "One Field, Many Perspectives," in Donald Puchala, ed., *VISIONS OF INTERNATIONAL RELATIONS*  
Rosenau, *TURBULENCE IN WORLD POLITICS*, chs.1,2  
V&K, ch.8

Of Relevance

- Donald Puchala, ed., *VISIONS OF INTERNATIONAL RELATIONS: ASSESSING AN ACADEMIC FIELD*, 2002  
Michael Brecher and Frank Harvey, eds., *MILLENNIAL REFLECTIONS ON INTERNATIONAL STUDIES* (Univ. Michigan Press, 2002) containing 45 chapters with overviews of IR theory and method.  
Gil Friedman and Harvey Starr, *AGENCY, STRUCTURE AND INTERNATIONAL POLITICS*, 1997  
Steve Smith, Ken Booth, Maria Zalewski, *INTERNATIONAL THEORY: POSITIVISM AND BEYOND*, 1996  
Rosenau, *ALONG THE DOMESTIC-FOREIGN FRONTIER*, 1997  
Martin Hollis and Steve Smith, *EXPLAINING AND UNDERSTANDING INTERNATIONAL RELATIONS*, 1990  
Cioffi-Revilla, Claudio, *POLITICS AND UNCERTAINTY*, 1998  
K.J. Holsti, "Mirror, Mirror on the Wall, Which are the Fairest Theories of All?" *INTERNATIONAL STUDIES QUARTERLY*, vol.33, 1989  
Jack Levy, "Explaining Events and Developing Theories: History, Political Science, and the Analysis of International Relations," in C. Elman and M. Elman, eds., *BRIDGES AND BOUNDARIES*, 2001  
Dina A. Zinnes, "Three Puzzles in Search of a Researcher," *INTERNATIONAL STUDIES QUARTERLY*, 24, 1980  
*INTERNATIONAL STUDIES QUARTERLY*, Symposium on "Post Positivist" Era, 33, 3, September 1989  
Yale Ferguson and R. Mansbach, *THE ELUSIVE QUEST*, Part I  
"Methodological Foundations of the Study of International Conflict," a Symposium in *INTERNATIONAL STUDIES QUARTERLY*: 29,2, 1985  
Henry Brady, "Introduction: Two Paths to a Science of Politics," *PERSPECTIVES ON POLITICS*, 2, 2, 2004  
Jim Granato and Frank Scioli, "Puzzles, Proverbs, and Omega Matrices: The Scientific and Social Significance of Empirical Implications of Theoretical Models (EITM)," *PERSPECTIVES ON POLITICS*, 2, 2, 2004  
Symposium on "Dissolving Boundaries: The Second Image Reverses... and Reversed Again," *INTERNATIONAL STUDIES REVIEW*, vol.5, 4, December 2003

### 3. RESEARCH DESIGN, LOGIC, AND METHOD [Sept.7]

#### Minimum Readings [Packet #3]

Ted R. Gurr, POLITIMETRICS (all) [or any text on research design]

Benjamin A. Most and Harvey Starr, INQUIRY, LOGIC AND INTERNATIONAL POLITICS, chs. 1,3,4 [ch.5 recommended here]

Gary King, Robert Keohane, and Sidney Verba, DESIGNING SOCIAL INQUIRY, ch.1 [P]

Michael Nicholson, CAUSES & CONSEQUENCES IN INTERNATIONAL RELATIONS, ch.3 [P] [BB]

Benjamin A. Most, "Getting Started on Political Research," P.S., vol.23, 1990 [P] [BB]

Alexander George, "Case Studies and Theoretical Development: The Method of Structured Focused Comparison," in Paul Lauren, ed., DIPLOMACY [P] [BB]

Charles Ragin, THE COMPARATIVE METHOD, ch.3 [BB]

David Collier, Jason Seawright, and Henry Brady, "Qualitative vs. Quantitative: What Might This Distinction Mean?" QUALITATIVE METHODS, vol.1, no.1, 2003 [P] [BB]

#### Also on Reserve

Nicholson, CAUSES AND CONSEQUENCES..., chs.2,6,7

Lauren, ed., DIPLOMACY, article by Small

Most and Starr, chs. 2 and 7

#### Of Relevance

Alexander George and Andrew Bennett, CASE STUDIES AND THEORY DEVELOPMENT IN THE SOCIAL SCIENCES (2005)

John Gerring, CASE STUDY RESEARCH: PRINCIPLES AND PRACTICES (2007)

Brecher and Harvey, eds., MILLENNIAL REFLECTIONS, section on "Methodology" [or, that section published separately as: Frank Harvey and Michael Brecher, eds., EVALUATING METHODOLOGY IN INTERNATIONAL STUDIES, 2002]

Eckstein, Harry. "Case Study and Theory in Political Science," pp.79-137 in F.I. Greenstein and N.W. Polsby eds. HANDBOOK OF POLITICAL SCIENCE, vol.7.

J. David Singer, "The Historical Experiment as a Research Strategy in the Study of World Politics," POLITICAL INQUIRY 2, 1, 1974, pp.23-52

Other recommended texts:

Charles Lave and James March, AN INTRODUCTION TO MODELS IN THE SOCIAL SCIENCES  
King, Keohane, and Verba, DESIGNING SOCIAL INQUIRY

Gary Goertz and Harvey Starr, NECESSARY CONDITIONS: THEORY, METHODOLOGY AND APPLICATIONS (2003)

#### 4. FRAMEWORKS FOR THE COMPARATIVE STUDY OF FOREIGN POLICY [Sept.14]

##### Minimum Readings [Packet #4]

Read the material in (i) "Comparative Methods" and one other grouping completely, and then sample from the other two.

##### i. Comparative Methods

David Collier, "The Comparative Method," in Ada W. Finifter, ed., POLITICAL SCIENCE: THE STATE OF THE DISCIPLINE II, 1993 [P] [BB]

King, Keohane, and Verba, DESIGNING SOCIAL INQUIRY, ch.6] [P]

John Gerring, "What is a Case Study and What is it Good for?" AMERICAN POLITICAL SCIENCE REVIEW, vol.98, no.2, 2004] [P] [BB]

Ragin, THE COMPARATIVE METHOD, ch.1 [BB]

Michael Ward, "Cargo Cult Social Science and Eight Fallacies of Comparative Political Research," INTERNATIONAL STUDIES NOTES, vol.13, 3, 1987 [P] [BB]

##### ii. Origins and Rosenau

Rosenau, ed., INTERNATIONAL POLITICS AND FOREIGN POLICY, selections by:

Harold and Margaret Sprout, "Environmental Factors in the Study of International Politics," (#5); Richard C. Snyder, H.W. Bruck, and Burton Sapin, "The Decision-Making Approach to the Study of International Politics," (#19); J. David Singer, "The Level-of-Analysis Problem in International Relations" (#3) [all three are on BB]

Rosenau, THE SCIENTIFIC STUDY OF FOREIGN POLICY (either revised edition or original): ch.6 "Pre-Theories and Theories of Foreign Policy" [BB]

ch.5 "Comparative Foreign Policy: One Time Fad, Realized Fantasy, and Normal Field" [also in Kegley, et al. above] [BB]

##### iii. Overviews and Critiques

Most and Starr, INQUIRY, LOGIC AND INTERNATIONAL POLITICS, ch.2

Charles Hermann, Charles Kegley, and James Rosenau, eds., NEW DIRECTIONS IN THE STUDY OF FOREIGN POLICY, article by Charles Hermann and Gregory Peacock, "The Evolution and Future of Theoretical Research in the Comparative Study of Foreign Policy"

Harvey Starr, "Rosenau, Pre-Theories and the Evolution of the Comparative Study of Foreign Policy," INTERNATIONAL INTERACTIONS, vol.14, 1, 1988 [P] [BB]

##### iv. Events Data

Kegley, et. al., INTERNATIONAL EVENTS AND THE COMPARATIVE ANALYSIS OF FOREIGN POLICY, Part III, pp.91-119

John L. Davies and Chad McDaniel, "A New Generation of International Event-Data," INTERNATIONAL INTERACTIONS, vol.20, 1994 [P]

Valerie Hudson, "Foreign Policy Analysis: Actor-Specific Theory and the Ground of International Relations," FOREIGN POLICY ANALYSIS, vol.1, 2005 [BB]

Also on Reserve

Highly recommended: Charles Kegley, et. al., INTERNATIONAL EVENTS AND THE COMPARATIVE ANALYSIS OF FOREIGN POLICY, read Part II **[BB]**

R,S&K, chs. 6

Rosenau, SCIENTIFIC STUDY: chs. 18, 12, 17

Hermann, Kegley, and Rosenau, eds., ch.3 by Moon, ch.20 by Papadakis and Starr

Of Relevance

Charles Ragin, THE COMPARATIVE METHOD

Giovanni Sartori, "Comparing and Miscomparing," J.THEORETICAL POLITICS, 3, 3, 1991

Maurice A. East, S. Salmore, and C. Hermann, eds., WHY NATIONS ACT: THEORETICAL PERSPECTIVES FOR COMPARATIVE FOREIGN POLICY STUDIES

Charles W. Kegley, "The Comparative Study of Foreign Policy: Paradigm Lost?" University of South Carolina, Institute of International Studies, No.10

Zeev Maoz and N. Abdolali, "Regime Types and International Conflict," J.CONFLICT RESOLUTION 33, 1, 1989

INTERNATIONAL STUDIES QUARTERLY 27, June 1983:

"Symposium: Events Data Collections"

Phillip Burgess and R. Lawton, INDICATORS OF INTERNATIONAL BEHAVIOR: AN ASSESSMENT OF EVENT BEHAVIOR RESEARCH

Michael Brecher, et.al., "A Framework for Research in Foreign Policy Behavior," J. CONFLICT RESOLUTION, 13, 1969

Rosenau, ed., LINKAGE POLITICS

Richard Merritt, R. Muncaster, and D. Zinnes, eds., INTERNATIONAL EVENT-DATA DEVELOPMENTS, chs.1, 8, 7

INTERNATIONAL INTERACTIONS, vol.20, nos.1-2, Special Issue, "New Directions in Event Data Analysis," Gavan Duffy, ed.

Philip Schrodt, "Event Data in Foreign Policy Analysis," in Laura Neack, J.A. Hey, and Patrick Haney, eds., FOREIGN POLICY ANALYSIS, 1995

James N. Rosenau, "CFP and IPE: The Anomaly of Mutual Boredom," INTERNATIONAL INTERACTIONS, vol.14, 1, 1988**[P]**

Valerie Hudson, FOREIGN POLICY ANALYSIS, 2007

APPROACHES AND ISSUES: MAJOR PERSPECTIVES TO THE STUDY OF IR

5. REALISM AND THE FOCUS ON POWER [Sept.21]

Text Readings

V&K, chapter 2-- pp.42-46 and pp.67-75. Reading selections by: Thucydides, Machiavelli, Hobbes, Rousseau, Nye  
D&P, ch. 2  
R,S&K, ch. 5

Minimum Readings [Packet #5]

*Description, Critique, and Defense*

Charles W. Kegley, ed., CONTROVERSIES IN INTERNATIONAL RELATIONS THEORY: REALISM AND THE NEOLIBERAL CHALLENGE, 1995, articles by Kegley; Ole Holsti; Waltz; Grieco  
K.J. Holsti, THE DIVIDING DISCIPLINE, chs.1,2  
Kjell Goldmann, "The Concept of 'Realism' as a Source of Confusion," CONFLICT AND COOPERATION vol.23, 1988[P] [BB]  
Brecher and Harvey volume, chapters by: Mearsheimer; Grieco; Vasquez; K.J. Holsti  
Keith Shimko, "Realism, Neorealism, and American Liberalism," THE REVIEW OF POLITICS, vol.54, 1992 [P] [BB]

*Concepts/Measures of Power*

David Baldwin, PARADOXES OF POWER, ch.7[P] [BB]  
Zeev Maoz, PARADOXES OF WAR, ch.8 [BB]  
Michael Ward and Lewis House, "A Theory of the Behavioral Power of Nations," J.CONFLICT RESOLUTION, vol.32, 1988[P] [BB]

Also on Reserve

D&P, ch. 4  
Rosenau, ed., IPFP, selection by: J. David Singer, "Inter-Nation Influence: A Formal Model" (#35)

Of Relevance

Robert Dahl, "The Concept of Power," BEHAVIORAL SCIENCE, 1957

Peter Bachrach and Morton Baratz, "The Two Faces of Power," AMERICAN POLITICAL SCIENCE REVIEW, 56, 1962

Steven Lukes, POWER: A RADICAL VIEW

Jeffrey Hart, "Three Approaches to the Measurement of Power in International Relations," INTERNATIONAL ORGANIZATION, 30, 1976

Andrew Mack, "Why Big Nations Lose Small Wars," WORLD POLITICS 27, January 1975

David Baldwin, ECONOMIC STATECRAFT

David Baldwin, PARADOXES OF POWER

Robert Keohane, NEOREALISM AND ITS CRITICS

John Vasquez, THE POWER OF POWER POLITICS: A CRITIQUE

Smith, Booth, Zalewski, INTERNATIONAL THEORY: POSITIVISM AND BEYOND

Steven Rosen, "War Power and the Willingness to Suffer," in Bruce Russett, ed., PEACE, WAR AND NUMBERS

Joseph Nye, SOFT POWER, 2004

Realists, Classical...

Thucydides, THE PELOPONNESIAN WAR

Machiavelli, THE PRINCE; THE DISCOURSES

Hobbes, LEVIATHAN

Karl von Clausewitz, ON WAR

... and Modern

E.H. Carr, THE TWENTY YEARS CRISIS

Raymond Aron, PEACE AND WAR

Hans Morgenthau, POLITICS AMONG NATIONS

Kenneth Waltz, THEORY OF INTERNATIONAL POLITICS

6. REALISM PART II-- INTERNATIONAL SYSTEM STRUCTURE [Sept.28]

Text Readings

D&P, ch. 3 [ch.4 strongly recommended]  
V&K, ch. 2, pp.55-66 (ch.5 recommended)  
R,S&K, ch. 4; also pp.363-66, pp.370-77

Minimum Readings [Packet #6]

Read materials on *System*, and at least two of the other three groupings.

*System*

Jay Goodman, "The Concept of System in International Relations Theory," BACKGROUND, 1965[P] [BB]  
Robert Jervis, SYSTEM EFFECTS, "Introduction," ch.3 [BB]  
Kenneth Waltz, THEORY OF INTERNATIONAL POLITICS, chs. 5,6[P] [BB]  
Brecher and Harvey volume, chapter by Pat James

*Alliance*

Stephen M. Walt, "Alliance Formation and the Balance of World Power," INTERNATIONAL SECURITY, vol.9, Spring 1985[P] [BB]  
Glenn Snyder, "The Security Dilemma in Alliance Politics," WORLD POLITICS vol.36, July 1984[P] [BB]  
Zeev Maoz, PARADOXES OF WAR, ch.7, "The Ally's Paradox" [BB]  
Brett Ashley Leeds, "Alliance Reliability in Times of War: Explaining State Decisions to Violate Treaties," INTERNATIONAL ORGANIZATION, vol.57, Autumn 2003 [BB]

*Polarity and Structure*

Rosenau, ed., INTERNATIONAL POLITICS AND FOREIGN POLICY, selections by:  
--Karl Deutsch and J.D. Singer, "Multipolar Power Systems and International Stability," (#29)  
--Richard Rosecrance, "Bipolarity, Multipolarity, and the Future," (#30)  
Most and Starr, INQUIRY, LOGIC AND INTERNATIONAL POLITICS, ch. 6  
Greg Cashman, WHAT CAUSES WAR? chs.8,9[P] [BB]

*Geo-Politics, Spatiality and Territory*

Harvey Starr and Randolph Siverson, "Alliances and Geopolitics," POLITICAL GEOGRAPHY QUARTERLY vol.9, 1990[P] [BB]  
Harvey Starr, "Territory, Proximity, and Spatiality: The Geography of International Conflict," INTERNATIONAL STUDIES REVIEW, vol.7, Sep't 2005 [BB]  
Nazli Choucri and Robert North, "Lateral Pressure in International Relations: Concept and Theory," in Midlarsky, HANDBOOK OF WAR STUDIES  
David Newman, "Real Spaces, Symbolic Spaces: Interrelated Notions of Territory in the Arab-Israeli Conflict," in Paul Diehl, ed., A ROAD MAP TO WAR[P]

Of Relevance

Alan Ned Sabrosky, ed., POLARITY AND WAR

Michael Ward, RESEARCH GAPS IN ALLIANCE DYNAMICS

O. Holsti, P.T. Hopmann and J.D. Sullivan, UNITY AND DIS-INTEGRATION IN INTERNATIONAL ALLIANCE

Morton Kaplan, SYSTEM AND PROCESS IN INTERNATIONAL POLITICS

Richard Rosecrance, ACTION AND REACTION IN WORLD POLITICS

Benjamin A. Most and Harvey Starr, "Diffusion, Reinforcement, Geopolitics, and the Spread of War," AMERICAN POLITICAL SCIENCE REVIEW, December 1980

Randolph Siverson and Harvey Starr, THE DIFFUSION OF WAR, 1991

J. Friedman, C. Bladen and S. Rosen, eds., ALLIANCE IN INTERNATIONAL POLITICS

Ernst Haas, "The Balance of Power as a Guide to Policy Making," JOURNAL OF POLITICS 15, 1953

Dina Zinnes, "An Analytical Study of the Balance of Power Theories," J. PEACE RESEARCH no.3, 1967

Benjamin Most, Harvey Starr and Randolph Siverson, "The Logic and Study of the Diffusion of International Conflict," in M. Midlarsky, ed., HANDBOOK OF WAR STUDIES

Randolph Siverson and Michael Sullivan, "Alliances and War: A New Examination of an Old Problem," CONFLICT MANAGEMENT AND PEACE SCIENCE, 8, Fall 1984

John O'Loughlin, "Geographic Models of International Conflicts," in Peter Taylor and John House, eds., POLITICAL GEOGRAPHY: RECENT ADVANCES AND FUTURE DIRECTIONS

Paul Diehl, ed., A ROAD MAP TO WAR: TERRITORIAL DIMENSIONS OF INTERNATIONAL CONFLICT (1999)

Paul Diehl and Gary Goertz, TERRITORIAL CHANGES AND INTERNATIONAL CONFLICT, Gilpin, WAR AND CHANGE IN WORLD POLITICS

Jack Levy, WAR IN THE MODERN GREAT POWER SYSTEM, 1495-1975

INTERNATIONAL STUDIES QUARTERLY Special Issue: "The Economic Foundations of War," 27, December 1983

Gary Goertz, CONTEXTS OF INTERNATIONAL POLITICS, 1994

Patrick James, INTERNATIONAL RELATIONS AND SCIENTIFIC PROGRESS: STRUCTURAL REALISM RECONSIDERED, 2002

7. FORMAL MODELS OF INTERNATIONAL INTERACTION (REALISM PART III?) [Oct.5]

Minimum Readings [Packet #7]

Herbert Simon, "Human Nature in Politics," AMERICAN POLITICAL SCIENCE REVIEW, 79, June 1985[P] [BB]

George Tsebelis, NESTED GAMES, ch.2 [BB]

Lave and March, chs.4,5

D&P, ch.11

R,S&K, ch. 7 (Look at the "In Greater Depth" boxes throughout RS&K)

Read at least two of the following groupings and sample from the other two.

i. Game Theory

Anatol Rapoport, FIGHTS, GAMES AND DEBATES, chs.6,7,8,14 [BB]

Duncan Snidal, "The Game Theory of International Politics," in Kenneth Oye, ed., COOPERATION UNDER ANARCHY[P] [BB]

Duncan Snidal, "Formal Models of International Politics," in Detlef Sprinz, et al, eds., MODELS, NUMBERS & CASES, 2004 [BB]

Robert Powell, IN THE SHADOW OF POWER, ch.1 "States and Strategies" [P] [BB]

ii. Expected Utility ( And Alternatives)

Bruce Bueno de Mesquita, "The Contribution of Expected-Utility Theory to the Study of International Conflict," in Midlarsky, ed., HANDBOOK OF WAR STUDIES

Jack Levy, "Prospect Theory, Loss Aversion, and Bargaining," Paper prepared for the conference on "Decision Making on War & Peace: The Cognitive-Rational Debate," Military Studies Institute, Texas A&M University, March 1994.[P]

Bruce Bueno de Mesquita, "A Decision Making Model: Its Structure and Form," INTERNATIONAL INTERACTIONS, vol.23, 1997 [P] [BB] (NOTE: skim, the rest of the special double-issue on "The Expected Utility Approach to Policy Decision Making")

iii. Prisoner's Dilemma and IR

Glenn Snyder, "'Prisoner's Dilemma' and 'Chicken' Models in International Politics," INTERNATIONAL STUDIES Q., 1971[P] [BB]

Robert Axelrod, THE EVOLUTION OF COOPERATION, chs. 1,3,6,7

Robert Axelrod, "Promoting Norms," in Axelrod, THE COMPLEXITY OF COOPERATION [P]

iv. Deterrence (and Action-Reaction Processes)

Dougherty and Pfaltzgraff, ch.8

R,S&K, ch. 9

Paul Huth and Bruce Russett, "What Makes Deterrence Work?" WORLD POLITICS, vol.36, 1984[P] [BB]

Robert Jervis, "Rational Deterrence: Theory and Evidence," WORLD POLITICS, vol.41, January 1989[P] [BB]

Of Relevance

- Stephen Quackenbush, "The Rationality of Rational Choice Theory," INTERNATIONAL INTERACTIONS, vol.30, 2004
- Michael Nicholson, FORMAL THEORIES IN INTERNATIONAL RELATIONS
- Michael Nicholson, CAUSES AND CONSEQUENCES IN INTERNATIONAL RELATIONS
- Bruce Bueno de Mesquita, THE WAR TRAP
- Bruce Bueno de Mesquita and David Lalman, WAR AND REASON
- James Morrow, GAME THEORY FOR POLITICAL SCIENTISTS
- Zeev Maoz, PARADOXES OF WAR, chs.3, 4
- Robert Jervis, "Realism, Game Theory, and Cooperation," WORLD POLITICS, 40, April 1988
- Robert Jervis, "Cooperation Under the Security Dilemma," WORLD POLITICS, 1978
- Glenn Snyder and Paul Diesing, CONFLICT AMONG NATIONS
- Thomas Schelling, THE STRATEGY OF CONFLICT
- Thomas Schelling, ARMS AND INFLUENCE
- Bruce Bueno de Mesquita, D. Newman and A. Rabushka, FORECASTING POLITICAL EVENTS (especially chs. 1, 2)
- Steven Brams, GAME THEORY AND POLITICS
- Mancur Olson, THE LOGIC OF COLLECTIVE ACTION
- John Williams and Michael McGinnis, "Sophisticated Reaction in the U.S.-Soviet Arms Race: Evidence of Rational Expectations," AMERICAN JOURNAL OF POLITICAL SCIENCE 32, 4, 1988
- Paul Huth and Bruce Russett, "Testing Deterrence Theory: Rigor Makes a Difference," WORLD POLITICS 42, 1990
- Charles Lipson, "International Cooperation in Economic and Security Affairs," WORLD POLITICS 37, October 1984
- Frank Zagare, "Classical Deterrence Theory: A Critical Assessment," INTERNATIONAL INTERACTIONS, vol.21, 1996
- Rose McDermott, RISK-TAKING IN INTERNATIONAL POLITICS: PROSPECT THEORY IN AMERICAN FOREIGN POLICY, 1998
- Frank P. Harvey, "Practicing Coercion," J. CONFLICT RESOLUTION, vol.43, December 1999

8. DECISION MAKING: DECISION MAKERS, THEIR ATTRIBUTES AND COGNITIVE APPROACHES [Oct.12]

Text Readings

V&K, pp.118-25; 142-45; 276-88 (or simply read all of ch.3 to get ahead, plus 276-88)

D&P, review ch. 11 (ch.6 highly recommended)

R,S&K, review ch. 7

Minimum Readings [Packet #8]

Donald Kinder and Janet Weiss, "In Lieu of Rationality," J.CONFLICT RESOLUTION, vol.22, December 1978[P] [BB]

Brian Ripley, "Psychology, Foreign Policy, and International Relations Theory," POLITICAL PSYCHOLOGY, vol.14, 1993[P] [BB]

Zeev Maoz, NATIONAL CHOICES AND INTERNATIONAL PROCESSES, ch.3, "The Inputs of Decision Making"[P] [BB]

Arthur Stein, "When Misperception Matters," WORLD POLITICS 34, 1982 [BB]

Philip A. Schrodt, "Artificial Intelligence and International Relations: An Overview," in V. Hudson, ed., ARTIFICIAL INTELLIGENCE AND INTERNATIONAL POLITICS [P] [BB]

Read 2-3 of the following applications/empirical studies:

-- Rosenau, ed., INTERNATIONAL POLITICS AND FOREIGN POLICY, selections by:

Ole Holsti, "The Belief System and National Images: A Case Study," (#46)

Charles Hermann and Margaret Hermann, "An Attempt to Simulate the Outbreak of World War I," (#51) [both articles BB]

-- Alex Mintz and Nehemiah Geva, "The Poliheuristic Theory of Foreign Policy Decisionmaking," in Geva and Mintz, eds., DECISIONMAKING ON WAR AND PEACE [P] [BB]

-- Richard Herrmann, et al, "Images in International Relations: An Experimental Test of Cognitive Schemata," INTERNATIONAL STUDIES QUARTERLY, vol.41, 1997 [P] [BB]

-- Richard Herrmann and M. Fischerkeller, "Beyond the Enemy Image and Spiral Model: Cognitive-Strategic Research After the Cold War," INTERNATIONAL ORGANIZATION, 49, 3, 1995 [BB]

-- Russell Leng, "Reagan and the Russians: Crisis Bargaining Beliefs and the Historical Record," AMERICAN POLITICAL SCIENCE REVIEW 78, June 1984 [P] [BB]

NOTE: An item that you must have read by the time we start section 9, and would be helpful for this week is, J.C. Charlesworth, ed., CONTEMPORARY POLITICAL ANALYSIS, chapter by: James A. Robinson and Roger Majak, "The Theory of Decision Making"[P] [BB]

Also on Reserve

Rosenau, ed., INTERNATIONAL POLITICS AND FOREIGN POLICY, selections by: Braybrooke and Lindblom (#20); Verba (#21); Jervis (#23)  
Glad, ed., PSYCHOLOGICAL DIMENSIONS OF WAR, selection 3. by Glad and Taber; selection 6. by Waite; selection 5. by O. Holsti

Of Relevance

John Steinbruner, THE CYBERNETIC THEORY OF DECISION, especially chs. 2,3,4  
Irving Janis and Ralph Mann, DECISIONMAKING  
Joseph De Rivera, THE PSYCHOLOGICAL DIMENSION OF FOREIGN POLICY  
Robert Jervis, PERCEPTION AND MISPERCEPTION IN INTERNATIONAL POLITICS  
Stephen G. Walker, "Evolution of Operational Code Analysis," POLITICAL PSYCHOLOGY 11, 1990  
Alexander George, "The Operational Code: A Neglected Approach to the Study of Political Leaders and Decision Making," INTERNATIONAL STUDIES QUARTERLY, 13, 1969  
Ole Holsti, "Crisis Decision Making," in Philip Tetlock, et.al., eds., BEHAVIOR, SOCIETY AND NUCLEAR WAR, vol.I  
Deborah Larson, "Problems of Content Analysis in Foreign Policy Research," INTERNATIONAL STUDIES QUARTERLY, 32, June 1988  
Harvey Starr, HENRY KISSINGER: PERCEPTIONS OF INTERNATIONAL POLITICS  
Deborah Larson, ORIGINS OF CONTAINMENT  
Alexander and Juliette George, WOODROW WILSON AND COLONEL HOUSE: A PERSONALITY STUDY  
James David Barber, THE PRESIDENTIAL CHARACTER  
Betty Glad, JIMMY CARTER: IN SEARCH OF THE GREAT WHITE HOUSE  
Ole Holsti, CRISIS, ESCALATION, WAR  
Michael Wallace and Peter Suedfeld, "Leadership Performance in Crisis: The Longevity-Complexity Link," INTERNATIONAL STUDIES Q. 32, 4, 1988  
Jonathan Wilkenfeld, Brecher, and Moser, CRISIS IN THE TWENTIETH CENTURY  
Douglas Stuart and Harvey Starr, "Inherent Bad Faith Reconsidered: Dulles, Kennedy and Kissinger," POLITICAL PSYCHOLOGY 3, 1981-82  
Stephen Walker, et al., "Presidential Operational Codes and Foreign Policy Conflicts in the Post-Cold War World," J.CONFLICT RESOLUTION, vol.43, October 1999

9. DECISION MAKING: DECISION MAKING PROCESSES AND ORGANIZATIONAL ANALYSES [Oct.19]

Text Readings

Review D&P and R,S&K chapters assigned past two weeks

Minimum Readings [Packet #9]

Read the first two groupings and sample from the last.

i. Information Processing and Decision Making

Robinson and Majak in Charlesworth[P] [BB]

Karl Deutsch, "Communication Models and Decision Systems," in Charlesworth [P] [BB]

Irving Janis, GROUPTHINK (1982 ed.), chs. 8,10,11[BB]

Rosenau, TURBULENCE IN WORLD POLITICS, ch.9

ii. Organizations and Bureaucratic Politics

Graham T. Allison, "Conceptual Models and the Cuban Missile Crisis," pp.280-296 in V&K; see also the version in the AMERICAN POLITICAL SCIENCE REVIEW, 63, 1969 [BB]

Raymond Tanter and Richard Ullman, eds., THEORY AND POLICY IN INTERNATIONAL RELATIONS, article by: Graham Allison and Morton Halperin, "Bureaucratic Politics: A Paradigm and Some Policy Implications"[P] [BB]

Graham Allison and Philip Zelokow, ESSENCE OF DECISION, 2nd ed. (1999), ch.7 [P]

Brian Ripley, "Cognition, Culture, and Bureaucratic Politics," in Neack, Hey, and Haney, eds., FOREIGN POLICY ANALYSIS [P] [BB]

iii. Empirical Studies

Hermann, Kegley, Rosenau, eds., NEW DIRECTIONS IN THE STUDY OF FOREIGN POLICY, chapter by: Paul Anderson, "What Do Decision Makers Do When They Make a Foreign Policy Decision? The Implications for the Comparative Study of Foreign Policy"[BB]

Jack Levy, "Organizational Routines and the Causes of War," INTERNATIONAL STUDIES QUARTERLY, 30, June 1986[P] [BB]

Zeev Maoz, "The Decision to Raid Entebbe," J.CONFLICT RESOLUTION, 25, 1981[P] [BB]

Yaacov Vertzberger, "Bureaucratic Organizational Politics and Information Processing in a Developing State," INTERNATIONAL STUDIES QUARTERLY, 28, March 1984[P] [BB]

Also on Reserve

Rosenau, ed., INTERNATIONAL POLITICS AND FOREIGN POLICY, selections by: Hilsman (#22); Paige (#41)

Hermann, Kegley, Rosenau, eds., chapter by Hermann, Hermann and Hagan, pp.309-336

Of Relevance

Graham Allison, ESSENCE OF DECISION

Morton Halperin and Arnold Kanter, eds., READINGS IN AMERICAN FOREIGN POLICY

Morton Halperin, BUREAUCRATIC POLITICS AND FOREIGN POLICY

I.M. Destler, PRESIDENTS, BUREAUCRATS AND FOREIGN POLICY

Robert Art, "Bureaucratic Politics: A Critique," POLICY SCIENCES 4, 1973

Jonathan Bendor and Thomas H. Hammond, "Rethinking Allison's Models," AMERICAN  
POLITICAL SCIENCE REVIEW, vol.86, 1992

Joseph De Rivera, THE PSYCHOLOGICAL DIMENSION OF FOREIGN POLICY

Glenn Paige, THE KOREAN DECISION

Charles Hermann, ed., INTERNATIONAL CRISES

Alexander George, PRESIDENTIAL DECISION MAKING IN FOREIGN POLICY

John Steinbruner, THE CYBERNETIC THEORY OF DECISION

Lloyd Etheredge, CAN GOVERNMENTS LEARN?

Charles Ostrom and Brian Job, "The President and the Political Use of Force," AMERICAN  
POLITICAL SCIENCE REVIEW, 80, 1986

Richard Neustadt, ALLIANCE POLITICS

Zeev Maoz, NATIONAL CHOICES AND INTERNATIONAL PROCESSES

10. THE INTERNAL-EXTERNAL LINKAGE: RECENT APPROACHES TO THE STUDY OF 2-LEVEL GAMES, INTERNAL AND EXTERNAL CONFLICT [Oct.26]

Text Readings

R,S&K, chs.6, 8

D&P, pp.264-282; 298-307

Minimum Reading [Packet #10]

Overview Concepts

Robert Putnam, "Diplomacy and Domestic Politics: The Logic of Two-Level Games," INTERNATIONAL ORGANIZATION, vol.42, Summer 1988[P] [BB]

George Tsebelis, NESTED GAMES, ch.1[BB]

Bruce Bueno de Mesquita, et al., THE LOGIC OF POLITICAL SURVIVAL (2003), ch.2 (with ch.3 highly recommended; also Bruce Bueno de Mesquita, et al., "Political Survival and International Conflict," in Zeev Maoz and Azar Gat, eds., WAR IN A CHANGING WORLD, 2001[P])

Most and Starr, INQUIRY, LOGIC AND INTERNATIONAL POLITICS, ch.5

Rosenau, TURBULENCE IN WORLD POLITICS, ch.6

Internal and External Conflict

Joe Hagan, "Domestic Political Systems and War Proneness," MERSHON INTERNATIONAL STUDIES REVIEW, October 1994[P] [BB]

Bruce Bueno de Mesquita and David Lalman, WAR AND REASON, chs.1,2,9

Harvey Starr, "Revolution and War: Rethinking the Linkage Between Internal and External Conflict," POLITICAL RESEARCH QUARTERLY, vol.47, 1994[P] [BB]

Bruce Bueno de Mesquita, et al, "War and the Fate of Regimes: A Comparative Analysis," AMERICAN POLITICAL SCIENCE REVIEW, vol.86, 1992[P] [BB]

Gil Friedman, "Conceptualizing Protracted Conflict and Protracted Conflict Management," in Harvey Starr, ed., THE UNDERSTANDING AND MANAGEMENT OF GLOBAL VIOLENCE (1999)[P] [BB]

Highly recommended-- look through two special issues of journals and the BdM book:

-- "Substitutability in Foreign Policy: Applications and Advances," (Glenn Palmer, ed), J.CONFLICT RESOLUTION, vol.44, February 2000

-- "Trade and Conflict," J.PEACE RESEARCH, vol.36, July 1999

– Bruce Bueno de Mesquita, et al., THE LOGIC OF POLITICAL SURVIVAL (2003)

Of Relevance

- Rosenau, ed., SCIENTIFIC STUDY OF FOREIGN POLICY revised ed., 1980, ch.15, "Toward the Study of Nation-International Linkages;" ch.18, "The Adaptation of National Societies"
- Marc V. Simon and Harvey Starr, "Extraction, Allocation, and the Rise and Decline of States," JOURNAL OF CONFLICT RESOLUTION, vol.40, June 1996
- Andrew Mack, "Numbers Are Not Enough: A Critique of Internal/External Behavior Research," COMPARATIVE POLITICS, 1975
- Bruce Russett, "International Interactions and Processes: The Internal vs. External Debate Revisited," in Ada Finifter, ed., POLITICAL SCIENCE: THE STATE OF THE DISCIPLINE, 1983
- Michael Stohl, "The Nexus of Civil and International Conflict," in Ted R. Gurr, ed., HANDBOOK OF POLITICAL CONFLICT, 1980
- Stephen Walt, "Revolution and War, WORLD POLITICS 44, 1992
- Randolph Siverson, ed., STRATEGIC POLITICIANS, INSTITUTIONS, AND FOREIGN POLICY, 1998
- Bruce Bueno de Mesquita and Randolph Siverson, "War and the Survival of Political Leaders: A Comparative Study of Regime Types and Political Accountability," AMERICAN POLITICAL SCIENCE REVIEW, vol.89, 1995
- Bueno de Mesquita, et al., "An Institutional Explanation of the Democratic Peace," AMERICAN POLITICAL SCIENCE REVIEW, vol.93, December 1999.
- Bruce Bueno de Mesquita and Randolph Siverson, "Nasty or Nice? Political Systems, Endogenous Norms, and the Treatment of Adversaries," JOURNAL OF CONFLICT RESOLUTION, vol.41, 1997
- Rosenau, ed., DOMESTIC SOURCES OF FOREIGN POLICY
- Rosenau, ed., LINKAGE POLITICS
- Ted Gurr, ed., HANDBOOK OF POLITICAL CONFLICT
- David Lake and Donald Rothchild, eds., THE INTERNATIONAL SPREAD OF ETHNIC CONFLICT, 1998
- Harvey Starr, ed., THE UNDERSTANDING AND MANAGEMENT OF GLOBAL VIOLENCE, 1999
- Allan Stam, WIN, LOSE, OR DRAW: DOMESTIC POLITICS AND THE CRUCIBLE OF WAR, 1996
- Mancur Olson, POWER AND PROSPERITY, 2000
- Joshua Goldstein, WAR AND GENDER, 2001

11. THE INTERNAL-EXTERNAL LINKAGE: DEMOCRACY AND THE DEMOCRATIC/KANTIAN PEACE [Nov.9] (note– no class on November 2)

Text Readings

V&K, pp.139-41; p.147; ch.3, reading selection by: Doyle

D&P, pp.313-21

R,S&K, ch.11

Minimum Reading [Packet #11]

Read the first grouping and sample from the second.

i. Theoretical Treatments (read at least 4-5)

Bruce Russett, GRASPING THE DEMOCRATIC PEACE, chs.1,2,6 [BB]

Bruce Russett and John Oneal, TRIANGULATING PEACE (2001), ch.1[P]

Bruce Russett and Harvey Starr, "From the Democratic Peace to Kantian Peace: Democracy and Conflict in the International System," in Manus Midlarsky, HANDBOOK OF WAR STUDIES II [P] [BB] (can also find this as ch.2 in Russett & Oneal book)

Fred Chernoff, "The Study of Democratic Peace and Progress in International Relations," INTERNATIONAL STUDIES REVIEW, vol.6, 2004[P] [BB]

Kegley, ed., CONTROVERSIES, chs. by Doyle; Onuf and Johnson

Mancur Olson, POWER AND PROSPERITY, 2000, chs. 1,2,10 [P] [BB]

John Mueller, "Capitalism, Peace, and the Historical Movement of Ideas," INTERNATIONAL INTERACTIONS 36, April-June 2010 [BB]

ii. Some Empirical Studies (read at least 3-4)

John Oneal and Bruce Russett, "The Kantian Peace: The Pacific Benefits of Democracy, Interdependence, and International Organizations, 1885-1992," WORLD POLITICS, October 1999[P] [BB]

Michael Mousseau, "Market Prosperity, Democratic Consolidation, and Democratic Peace," J.CONFLICT RESOLUTION, vol.44, August 2000[P] [BB] (Recommended: the follow-on article in vol.47, no.4, December 2003 in INTERNATIONAL STUDIES QUARTERLY, [BB])

David A. Lake, "Powerful Pacifists: Democratic States and War," AMERICAN POLITICAL SCIENCE REVIEW, vol.86, March 1992[P] [BB]

Vesna Danilovic and Joe Clare, "The Kantian Liberal Peace (Revisited)," AMERICAN J. OF POLITICAL SCIENCE 51, April 2007 [BB]

Bruce Bueno de Mesquita, et al., THE LOGIC OF POLITICAL SURVIVAL (2003), ch.6

Of Relevance

Erik Gartzke, "The Capitalist Peace," AMERICAN J. OF POLITICAL SCIENCE 51, January 2007 [BB]

Special Issue on "A Capitalist Peace?," INTERNATIONAL INTERACTIONS 36, 2, 2010—see especially Russett, pp.198-205

Resat Bayer and Michael Bernhard, "The Operationalization of Democracy and the Strength of the Democratic Peace: A Test of the Relative Utility of Scalar and Dichotomous Measures," CONFLICT MANAGEMENT AND PEACE SCIENCE 21, 1, 2010

Three early special issues/symposia on the democratic peace can be found in: INTERNATIONAL INTERACTIONS, 18, 3, 1993; JOURNAL OF CONFLICT RESOLUTION, June 1991; JOURNAL OF PEACE RESEARCH, November 1992

See also:

Max Singer and Aaron Wildavsky, THE REAL WORLD ORDER: ZONES OF PEACE/ZONES OF TURMOIL, 1993

James Lee Ray, DEMOCRACY AND INTERNATIONAL CONFLICT, 1995

R.J. Rummel, POWER KILLS: DEMOCRACY AS A METHOD OF NON-VIOLENCE, 1997

R.J. Rummel, DEATH BY GOVERNMENT, ch.1 (1994)[P]

Spencer Weart, NEVER AT WAR, 1998

12. FROM INTERDEPENDENCE AND TRANSNATIONALISM TO INTEGRATION AND REGIMES: I [Nov.16]

Text Readings

D&P, ch. 10

R,S&K, chs. 10,13,14

V&K, review all of ch.3

Minimum Readings [Packet #12]

*Interdependence and Transnationalism (to Globalism??)*

Robert Keohane and Joseph Nye, POWER AND INTERDEPENDENCE (3rd ed.), chs. 1,2,3,8,9,10

Kegley, ed., CONTROVERSIES, ch. by Zacher and Matthew

Joseph Nye and Robert Keohane, "Transnational Relations and World Politics: An Introduction," INTERNATIONAL ORGANIZATION, vol.25, Summer 1971[P][BB]

Zeev Maoz, "The Effects of Strategic and Economic Interdependence on International Conflict Across Levels of Analysis," AMERICAN J. POLITICAL SCIENCE, vol53, Jan.2009 [BB]

*Integration*

Bruce Russett, "Transactions, Community, and International Political Integration," in Russett, ed., POWER AND COMMUNITY IN WORLD POLITICS[P]

Donald Puchala, "Integration Theory and the Study of International Relations," in Richard Merritt and Bruce Russett, eds., FROM NATIONAL DEVELOPMENT TO GLOBAL COMMUNITY [P]

Donald Puchala, "Institutionalism, Intergovernmentalism and European Integration," JOURNAL OF COMMON MARKET STUDIES, vol.37, June 1999[P] [BB]

Emanuel Adler and Michael Barnett, "A Framework for the Study of Security Communities," in Adler and Barnett, SECURITY COMMUNITIES [P]

Harvey Starr, "Democratic Peace and Integration: Synergies Across Levels of Analysis," in Harvey Starr, ed., APPROACHES, LEVELS, AND METHODS OF ANALYSIS IN INTERNATIONAL POLITICS: CROSSING BOUNDARIES, 2006 [BB]

Also on Reserve

Rosenau, TURBULENCE IN WORLD POLITICS, ch. 10 (see also chs.5,6,11,14)

13. FROM INTERDEPENDENCE AND TRANSNATIONALISM TO INTEGRATION AND REGIMES: II [Nov.23]

Minimum Readings [Packet #13]

Regimes

Robert Keohane, AFTER HEGEMONY, chs. 3, 4, 6

Kegley, ed., CONTROVERSIES, chs. by Starr; Rochester

V&K, ch.3 reading selection by Keohane; (recommended, ch.6, and Finnemore reading)

Stephen Krasner, ed., INTERNATIONAL REGIMES, articles by:

-- Oran Young, "Regime Dynamics: The Rise and Fall of International Regimes" [BB]

-- Donald Puchala and R. Hopkins, "International Regimes: Lessons from Inductive Analysis" [BB]

-- Arthur Stein, "Coordination and Collaboration: Regimes in an Anarchic World" [BB]

A. Hasenclever, P. Mayer and V. Rittberger, "Interests, Power, Knowledge: The Study of International Regimes," MERSHON INTERNATIONAL STUDIES REVIEW, October 1996[P] [BB]

Michael N. Barnett and Martha Finnemore, "The Politics, Power, and Pathologies of International Organizations," in Lisa Martin and Beth Simmons, eds., INTERNATIONAL INSTITUTIONS (2001)[P] [BB]

Convergence and Re-Assessment

Keohane and Nye, POWER AND INTERDEPENDENCE, Part VI

Gregory A. Raymond, "Problems and Prospects in the Study of International Norms," MERSHON INTERNATIONAL STUDIES REVIEW, November 1997[P][BB]

Of Relevance [for both topics 12. and 13.]

- Harvey Starr, ANARCHY, ORDER AND INTEGRATION: HOW TO MANAGE INTERDEPENDENCE, 1997
- John Ruggie, CONSTRUCTING THE WORLD POLITY, 1998
- Krasner, ed., INTERNATIONAL REGIMES, article by: Susan Strange, "Cave! hic dragones: A Critique of Regime Analysis"
- Bruce Russett, "The Mysterious Case of Vanishing Hegemony, or, Is Mark Twain Really Dead?" INTERNATIONAL ORGANIZATION 39, Spring 1985
- Stephan Haggard and Beth Simmons, "Theories of International Regimes," INTERNATIONAL ORGANIZATION 41, 1987
- Friedrich Kratochwil and John Gerard Ruggie, "International Organization: A State of the Art on an Art of the State," INTERNATIONAL ORGANIZATION 40, Autumn 1986
- J. Martin Rochester, "The Rise and Fall of International Organization as a Field of Study," INTERNATIONAL ORGANIZATION 40, Autumn 1986
- Lisa Martin and Beth Simmons, "Theories and Empirical Studies of International Institutions," INTERNATIONAL ORGANIZATION, vol.52, 1998
- Lisa Martin and Beth Simmons, eds., INTERNATIONAL INSTITUTIONS, 2001
- Katherine Barbieri and Gerald Schneider, "Globalization and Peace: Assessing New Directions in the Study of Trade and Conflict," J.PEACE RESEARCH, vol.36, July 1999[P]
- Adler and Barnett, SECURITY COMMUNITIES, 1998
- Peter Katzenstein, ed., BETWEEN POWER AND PLENTY
- Bruce Russett, POWER AND COMMUNITY IN WORLD POLITICS
- Charles Kindleberger, POWER AND MONEY
- Raymond Vernon, SOVEREIGNTY AT BAY
- Keohane and Nye, eds., TRANSNATIONAL RELATIONS
- Harold Jacobson, NETWORKS OF INTERDEPENDENCE
- Roger Cobb and Charles Elder, INTERNATIONAL COMMUNITY
- Karl Deutsch, "National Integration: Some Concepts and Research Notes," JERUSALEM J. OF INTERNATIONAL RELATIONS, 1977
- Johan Galtung, "A Structural Theory of Integration," J. PEACE RESEARCH, 1968
- Richard Merritt and Bruce Russett, eds., FROM NATIONAL DEVELOPMENT TO GLOBAL COMMUNITY, article by Arend Lijphart, "Karl W. Deutsch and the New Paradigm in International Relations"
- Karl Deutsch, et. al., POLITICAL COMMUNITY AND THE NORTH ATLANTIC AREA
- Ernst Haas, UNITING OF EUROPE (especially chs.1,2)
- Leon Lindberg and Stuart Scheingold, REGIONAL INTEGRATION: THEORY AND RESEARCH; also, EUROPE'S WOULD-BE POLITY
- Ernst Haas, "Turbulent Fields and the Theory of Regional Integration," INTERNATIONAL ORGANIZATION, 1976
- Walter Mattli, THE LOGIC OF REGIONAL INTEGRATION, 1999
- Andrew Moravcsik, THE CHOICE FOR EUROPE, 1998
- Clive Archer, THE EUROPEAN UNION, 2008

14. STATUS, HIERARCHY AND DEPENDENCY: MARXIST AND STRUCTURALIST ANALYSES  
[Nov.30]

Text Readings

V&K, ch. 4 (all); reading selections by Hobson; Wallerstein

D&P, ch.9

R,S&K, chs. 12, 15

General Introduction to IPE [Packet #14]

– Read both items in either i. or ii., and at least one item from the other

i. – Robert Gilpin, THE POLITICAL ECONOMY OF INTERNATIONAL RELATIONS(1987),  
ch.2[P] [BB]

– Robert Gilpin, GLOBAL POLITICAL ECONOMY (2001), ch.2[P] [BB]

ii. – James A. Caporaso, "Global Political Economy," in Ada W. Finifter, ed., POLITICAL  
SCIENCE: THE STATE OF THE DISCIPLINE (1993)[P]

– Jeffrey Frieden and Lisa Martin, "International Political Economy: Global and Domestic  
Interactions," in Ira Katznelson and Helen Milner, eds., POLITICAL SCIENCE: STATE OF  
THE DISCIPLINE (2002) [P] [BB]

Minimum Readings

K.J. Holsti, THE DIVIDING DISCIPLINE, ch. 4

Johan Galtung, "Violence, Peace and Peace Research," J.PEACE RESEARCH 6, 3, 1969[P] [BB]

James A. Caporaso, "Dependence, Dependency, and Power in the Global System: A Structural and  
Behavioral Analysis," INTERNATIONAL ORGANIZATION, 32, 1978[P] [BB]

Raymond D. Duvall, "Dependence and Dependencia Theory: Notes Toward Precision of Concept and  
Argument," INTERNATIONAL ORGANIZATION, 32, 1978[P] [BB]

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